



# New Account Application

In order to better serve you and to avoid any possible delay in opening your account, please complete this application in full, along with the additional documents noted on the final pages of this application "Brokerage Account Requirements" and return the originals to your Advisor. All requested documents must be provided in order to open an account. This form must be completed for each beneficial owner and signatory of the account. If funds or assets are received by Valor Capital prior to the accounts final approval to open, those assets may not be sold or transferred until documentation is complete, except to be returned to where there were received from.

## Account Detail/Contact Information

Date:	<input type="text"/>	Account Number:	<input type="text"/>
Account Name:	<input type="text"/>		
Primary Address:	<input type="text"/>		
City:	State/Province:	Country:	Citizenship:
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Contact Phone:	Contact Email Address:		
<input type="text"/>	<input type="text"/>		
Date of Birth:	<input type="text"/>	Place of Birth:	<input type="text"/>
Source of Wealth:	<input type="text"/>		
Purpose of Account:	<input type="text"/>		
Account type:	Currency of Account:		
<input type="checkbox"/> Cash <input type="checkbox"/> Margin <input type="checkbox"/> Custody	<input type="checkbox"/> USD <input type="checkbox"/> CAD Other <input type="text"/>		
Estimate Market Value of Account:	Most Money in Market at Any One Time?		
<input type="text"/>	<input type="text"/>		
Will the Account Handle Physical Securities?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Investment Knowledge:			
<input type="checkbox"/> None <input type="checkbox"/> Limited <input type="checkbox"/> Good <input type="checkbox"/> Sophisticated			
Investment Experience:	<input type="checkbox"/> N/A <input type="checkbox"/> Stocks <input type="checkbox"/> Options <input type="checkbox"/> Commodities		

☐

Mutual Funds

☐

Bonds

Investment Objectives:

☐

Short Term Growth

☐

Inter Term Growth

☐

Long Term Growth

☐

Income

Risk Factors:

☐

High Risk

☐

Speculative

☐

Good Quality

☐

Investment Grade

Related Accounts at Valor Capital:

Any public companies in which Customer is an Officer or Director?

☐

No

☐

Yes

Any Public companies in which Customer holds 10% or greater of voting rights?

☐

No

☐

Yes

Are orders given by any other persons?

☐

Yes

☐

No

Is this a discretionary or managed account?

☐

Yes

☐

No

Bank reference Supplier:

Bank Address

Is the account holder / beneficial owner a qualified "High net worth person" or a "sophisticated investor" as to which a person with personal net worth is of at least \$1 million USD or its equivalent in any other currency?

☐

Yes

☐

No

Is any applicant, member of immediate family, or business associate a senior foreign political official?

☐

Yes

☐

No

If yes, please provide details:

Is any applicant employed by or affiliated with a securities firm, a securities exchange, or FINRA?

☐

Yes

☐

No

If yes, please provide organization name and compliance department address:

Have you ever been convicted of a securities related offence, fraud, or any other serious criminal offence?

☐

Yes

☐

No

If yes, please provide details:

Does anyone other than the persons named have authority over or any financial interest in this account?

☐ Yes

☐ No

If yes, please provide details:

How customer was referred to Valor Capital:

Under penalties of perjury, I certify that: the information provided in this application is true and correct and I agree to advise Valor Capital immediately of any material change in this information or any financial circumstances. By signing this application, I acknowledge that I have received, read and agree to abide by the terms and conditions of the accompanying Brokerage Account Agreement, including (if applicable) risk disclosures relating to margin accounts, derivatives, online access and restricted securities. This agreement is subject to the laws of the Cayman Islands.

SIGNATURE OF CLIENT(S):

Signature:

Date