



# Individual Document Checklist

Account Name:

Account Number:

- Account Application
- Brokerage Agreement
- Accredited Investor Form
- Signed Fee Schedule
- For all shareholders, ultimate beneficial owners, general partners, managing members, directors, principals and authorized signatories the following is required:
  - Proof of address: utility bill, credit card statement, bank statement, or bank reference with address included, dated no older than 90days
  - Certified copy of Passport **and** one other government issued ID (Drivers License, National Identity Cards, Armed Forces Identity Cards, etc.) **BOTH MUST BE NOTARIZED**
  - Professional reference or bank reference
  - W8BEN Form or W9 Form
  - Completed Identity Verification Form
- If the signatory or authorized trader is a company we will require the following documents
  - Memorandum and Articles of Association
  - Notarized Copy of passport of the person signing on behalf of the company
  - Current Utility bill from Residential Address of the person signing on behalf of the company
- Full Trading Authorization, if required