



Corporate Document Checklist

Account Name:

Account Number:

- New Corporate Account Application
- Brokerage Agreement
- Corporate Trading Resolution
- Certificate of Incumbency
- Certificate of Due Diligence Signed by Authorized Signatory or Beneficial Owner
- Two reference letters, Bank and Professional reference letter, not older than 90 days
- Copy of Certificate of Incorporation and Memorandum and Articles of Association
 - If a Trust : appropriately certified copy of a trust deed or pertinent extracts thereof
 - If a Partnership: certified copy of the partnership deed and copy of the latest report and accounts
- Certificate of Good Standing, if the company is older than 1 year
- Accredited Investor Form
- Signed Fee Schedule
- If the signatory is a company we will require the following documents
 - Memorandum and Articles of Association
 - Notarized Copy of passport of the person signing on behalf of the company
 - Current Utility bill from Residential Address of the person signing on behalf of the company
- For all shareholders, ultimate beneficial owners, general partners, managing members, directors, principals and authorized signatories the following is required:
 - Proof of address, utility bill, credit card statement, bank statement, or bank reference with address included, dated no older than 90days
 - Certified copy of Passport and one other government issued ID (Drivers License, National Identity Cards, Armed Forces Identity Cards, etc.) BOTH MUST BE NOTARIZED
 - Professional reference including address
 - W8BEN Form or W9 Form
 - Completed Identity Verification Form
- Full Trading Authorization, if required